

PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Tfw

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number	10/739,885
Filing Date	December 18, 2003
First Named Inventor	Sanjiv N. Patel
Art Unit	3774
Examiner Name	Unassigned
Total Number of Pages in This Submission	1796JB.045657

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<input type="checkbox"/> Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Bracewell & Patterson, LLP		
Signature			
Printed name	Kimberly L. Brown		
Date	October 21, 2004	Reg. No.	48,698

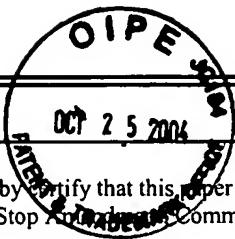
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Katie E. Johnson	Date	October 21, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop A-1450, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: _____ By: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Sanjiv N. Patel, et al.

Serial No.: 10/739,885

Filed: December 18, 2003

For: LEAN REFLUX-HIGH HYDROCARBON RECOVERY PROCESS

Examiner: Unassigned

Group Art Unit: 3774

Attorney Docket No. 1796JB.045657

**FIRST SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97**

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with Applicants' duty of candor and good faith in accordance with the provisions of 37 C.F.R. § 1.56, § 1.97, and § 1.98, Applicants file this Information Disclosure Statement and attached Form PTO-1449 listing an additional reference of which Applicants are aware.

This Information Disclosure Statement is being filed before issuance of the first Office Action and no fee is required. In the event any fee is required, the Commissioner is hereby authorized to charge Bracewell & Patterson Deposit Account No. 50-0279 (1796JB.045657).

Date: 10/21/04

Respectfully submitted,

Kimberly L. Brown
Kimberly L. Brown, Reg. No. 48,698
BRACEWELL & PATTERSON, L.L.P.
P.O. Box 61389
Houston, Texas 77208-1389
(713) 221-1189
Attorney for Applicants

Substitute for form 1449 PTO-5
OCT 25 2004
INFORMATION
DISCLOSURE
STATEMENT
APPLICANT
(use as many sheets as necessary)

Complete if Known			
Application Number	10/739,885		
Filing Date	December 18, 2003		
First Named Inventor	Sanjiv N. Patel		
Group Art Unit	3744		
Examiner Name	Unassigned		
Sheet 1 of 1	Attorney Docket Number 1796JB.045657		

U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
	Number	Kind Code (if known)		
	US 2002/0157538		Foglietta et al.	10-2002
	US 2003/0221447		Mealey	12-2003

FOREIGN PATENT DOCUMENTS					
Exr Initials	Foreign Patent Document			Date of Publication of Cited Document MM-YYYY	
	Country Code	Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document	T ₁

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Exr Initials	Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published.			T ₁

Examiner Signature	Date Considered
--------------------	-----------------